

The present invention provides a system for providing notification of market information. A user computer is used to specify a market condition to be monitored, which is received and stored on a host computer. Upon receipt of the condition to be monitored, the host computer generates and transmits confirmation data indicating that the specified market condition has been received by the host computer and will be monitored. Claims 1, 11 and 15 have been amended to highlight this novel aspect of the invention and Claims 5-10 have been cancelled. No new material has been added as the confirmation and the content thereof are disclosed in the specification at p. 9, Ins. 5 - 12 and p. 14, ln. 19 - p. 15, ln. 6

As was discussed with the Examiner during the telephone interview, Kosaka *et al.* does not disclose, teach or suggest such a confirmation. In the Office Action dated January 21, 1999, the Examiner cited Kosaka col. 9, Ins. 25 - 27 as generating confirmation data for receipt by the user computer. The relevant portion of Kosaka discloses transmitting a customer dealing conditions satisfied information file from the host computer to a work station. As disclosed in Kosaka at col. 8, ln. 41 - col. 9, ln. 22, however, it can be seen that the customer dealing conditions satisfied information file is generated and transmitted only after a dealing condition is found to have been satisfied and that the information contained in the file relates to the condition which has previously found to have been satisfied. Kosaka does not disclose confirming to a user that a new or changed dealing condition will be monitored per user request.

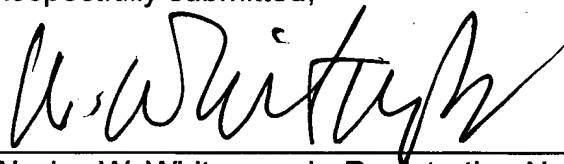
Claims 1, 11 and 15 have been amended to highlight the fact that the confirmation claimed in the present invention is generated and transmitted in response to input for a condition to be monitored and not in response to a finding that the condition has been satisfied. Moreover, the claims have been amended to highlight the fact that the confirmation confirms that the condition to be

Page 6
Serial No. 08/863,037
April 19, 1999

monitored has been input into the system and that the condition will be monitored, not that the condition has been found to exist.

Applicant respectfully submits that this amendment places all pending claims, namely claims 1-4 and 11-17 in condition for allowance and earnestly solicits allowance of the same.

Respectfully submitted,

A handwritten signature in black ink, appearing to read 'W. Whitmyer, Jr.', written over a horizontal line.

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